

**Notice of References Cited**

Application/Control No.

10/615,250

Applicant(s)/Patent Under  
Reexamination  
TSO ET AL.

Examiner

Brian Fertig

Art Unit

4124

Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2002/0138396 A1	09-2002	Brown et al.	705/37
*	B	US-5,809,484	09-1998	Mottola et al.	705/36R
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Hecht v. Malley, 265 U.S. 144 (1924), Supreme Court of the United States, p 146-147
	V	Securities Act File No. 333-83085 filed by The Market Participation Principal Protection Fund Inc, July 16, 1999□□
	W	The Delaware Business Trust Act by Eric A. Mazie, Jan 9, 2000
	X	Chicago Board of Trade, Comodity Trading Manual, 1994, Glenlake Publishing Company Ltd. p 95-97, 325-328

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.